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TRANSMITTAL  ADTHUM  (to be used for all correspondence after initial filing)			Application Number			10/052,111		
			Filing Date			January 17, 2002		
			First Named Ir	First Named Inventor			Joseph A. Schrader	
			Group Art Uni	t		2641		
			Examiner Nam	Examiner Name			signed	
☐ Sent via Express Mail · Label No.:			Attorney Docket Number			164052.04		
ENCLOSURES (check all that apply)								
☐ Fee Transmittal Form ☐ Fee Attached			nent Papers Application)			After Allowan	ce Communication to TC	
Amendment / Reply		Drawing(s) ( sheets)				Appeal Comm Appeals and Ir	unication to Board of terferences	
Affidavits/declaration(s)		Declarat		xecuted ( pages)		Appeal Comm	unication to TC	
<ul><li>Extension of Time Request</li><li>Express Abandonment Request</li></ul>			opy from a prior application CFR 1.63(d)) ( pages)			Proprietary Inf	ormation	
•		Licensing-related Papers			Status Letter			
☐ Information Disclosure Statement with Forms PTO/SB/08A (4 pages)		Petition			Application Data Sheet			
Response to Notice to File Missing Parts  A copy of the Notice to File Missing Parts Under 37 CFR 1.52 or 1.5		Petition Applicat	to Convert to a Protion	ovisional		Request for Co	rrected Filing Receipt	
CERTIFICATE OF MAILING OR TRANSMISSION (Under 37 CFR § 1.8(a))		General	Power of Attorney	ower of Attorney (SB80)		Return Receip		
I hereby certify that this correspondence is being:		∐ 37 C	CFR 3.73(b) Statement			Other Enclosure(s) (please identify below):		
☑ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; or		Termina	l Disclaimer		IDS	☐ Copies of (2) references cited in the IDS (69 pages)		
		Request	for Refund			Copy of this Transmittal form		
transmitted by facsimile on the date shown below to the USPTO at (571)	CD, Number of CD(s)							
January 30, 2006  Date Signature Kate Marochkina Printed Name	Remarks  The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50-0463 for the above identified patent application.				harge any additional posit Account No. 50-			
SIGNATURE OF ATTORNEY OR AGENT								
Signature   Market Market	Reg. N	No.	48,958	· · · ·				
Name of Attorney or Agent Carole A. Boelitz								
Date // 7/DU	Tel.	(4	<b>125) 722-603</b>	5	Fac	simile No.	(425) 708-5046	
			MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052					
Customer Number:		2	2971					

Docket No.: 164052.04

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Joseph A. Schrader

Attorney Docket No.: 164052.04

**Application No.**: 10/052,111

Group Art Unit: 2641

Filed:

January 17, 2002

**Examiner**: Not Yet Assigned

Customer No.:

22971

Confirmation Number: 3342

Title:

SYSTEM FOR CREATING AND DELIVERING ENCHACED TELEVISION SERVICES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

## STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

### PART I: Compliance with 37 C.F.R. § 1.97

This Informational Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

#### **PART II: Additional Information**

The Applicant hereby makes the following additional information of record in the above-identified application.

The above-identified U.S. application is a continuation-in-parts of US 09/903,973, filed July 12, 2001, which claims priority to application Serial No. US 60/273,136. If the Examiner has not had the benefit of review of the file history of US 09/903,973, then the Applicant respectfully requests that the Examiner contact the undersigned, who will provide a copy of the same.

Docket No.: 164052.04

**PATENT** 

The Applicant would like to bring to the Examiner's attention the following copending applications that may contain subject matter related to this application:

Serial No.

Filing Date

Inventor(s)

11/234,006

September 23, 2005

Ada W. Cho, et al

#### PART III: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached forms PTO/SB/08A. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached forms PTO/SB/08A are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed forms PTO/SB/08A be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

Docket No.: 164052.04 PATENT

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Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

If any fees are required, however, the Commissioner is hereby authorized to charge the required fees, or credit any overpayments, to Deposit Account No. 50-0463.

Respectfully submitted,

MICROSOFT CORPORATION

Date: 1 0 U

Carole A. Boelitz, Reg. No. 48,958

Microsoft Corporation Redmond, Washington

Telephone (425) 722-6035

#### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

<u>January 30, 2005</u>

Date

Signature

Kate Marochkina

Type or Print Name

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PADEM 1449/PTO

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known			
Application Number	10/052,111		
Filing Date	January 17, 2002		
First Named Inventor	Joseph A. Schrader		
Art Unit	2641	· · · · · · · · · · · · · · · · · · ·	
Examiner Name	Not Yet Assigned		
Attorney Docket Number	164052 04		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 2002/0157099 A1	10-24-2002	Schrader et al.	
	2	<sup>US-</sup> 2002/0157101 A1	10-24-2002	Schrader et al.	
	3	<sup>US-</sup> 2003/0023975 A1	01-30-2003	Schrader et al.	
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		FORE	<b>IGN PATENT DOCU</b>	IMENTS		
Examiner · Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
	4	WO 00/33576	06-08-2000	INDEX SYSTEM, INC		
	-					
			1			

Examiner		
	Date	
Signature		
	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.